

***City of Phoenix, Arizona***

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***Monthly Financial Report***

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***October 2011***

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# City of Phoenix

FINANCE DEPARTMENT  
FINANCIAL ACCOUNTING AND REPORTING DIVISION

November 21, 2011

To the Mayor and City Council:

This is the City's Financial Report for October, the fourth month of fiscal year 2011-12. This report consists of three sections:

## General Funds

### **Summary**

presents comparisons of year-to-date balances to the fiscal year budget and to the actual results for the prior year for the General Funds.

## Citywide

### **Summary**

presents the City's summarized comparisons of the year-to-date balances to the fiscal year budget and to the actual results for the prior year.

### **Detail**

presents, in detail, the results of the City's operations for the month of October and for the fiscal year-to-date. Included are breakdowns of the City's revenues, operating budget expenditures, capital budget expenditures and bonds authorized and sold.

Respectfully submitted,

Handwritten signature of Jeff DeWitt in black ink.

Jeff DeWitt  
Finance Director

Handwritten signature of Bill Greene in black ink.

Bill Greene  
Acting City Auditor

Handwritten signature of Denise M. Olson in black ink.

Denise M. Olson  
Deputy Finance Director

Prepared by the Financial  
Accounting & Reporting Division

# Monthly Financial Report – October 2011

## Executive Summary

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### Budget

- The budget amounts in this report represent the official adopted budget, as approved by the City Council, for fiscal year 2011-12.

### Revenues

- Revenues for the General Fund were \$2.9 million less than prior year-to-date revenues. Local sales taxes and the City's portion of state sales tax comprise the major portion, approximately 55 percent, of estimated General Fund revenues. As of October 2011, local sales taxes were up \$6.1 million compared to the prior year-to-date General Fund revenues. However, state shared taxes were down \$8.3 million compared to the prior year-to-date. The decrease in state shared revenues was mostly from Income and Vehicle License Taxes. *(see pages 12-13)*

### Expenditures

- Citywide year-to-date expenditures for the month of October 2011 are \$24.3 million less than year to date expenditures for October 2010. This reduction is primarily due to reduced capital improvements in the operating budget.
- General Fund expenditures for the first four months of the fiscal year are on target representing 32.2 percent of the total budget. *(see page 3)*
- Capital fund year-to-date expenditures have decreased \$181.2 million to \$159.3 million from \$340.5 million spent during the same period last year.

# **General Funds Summary**

# Summary

# General Funds

## As of October 31

(dollars in thousands)

	Budget	Actual		% of Year-to-Date	
	Estimate	Year-to-Date		Estimate	Actual
	2011-12	2011-12	2010-11	2011-12	2010-11
<b>Revenues</b>					
Local Taxes					
Sales Taxes	\$ 417,006	\$ 136,231	\$ 130,061	32.7 %	33.1 %
Privilege License Fees	2,465	323	336	13.1	13.8
State Shared Taxes					
State Sales Tax	110,696	35,705	35,357	32.3	31.6
State Income Tax	122,065	40,667	47,882	33.3	33.3
Vehicle License Tax	48,045	15,691	17,098	32.7	35.4
Primary Property Tax	126,763	7,677	8,505	6.1	6.5
Licenses and Permits	3,270	869	977	26.6	31.6
Cable Communications	9,567	2,263	2,396	23.7	25.0
Municipal Court					
Fines and Forfeitures	20,759	6,720	6,762	32.4	31.7
Court Default Fee	1,100	288	303	26.2	26.1
Police	12,598	3,319	4,201	26.3	26.0
Library	1,256	380	412	30.3	33.1
Parks and Recreation	7,103	1,960	3,023	27.6	40.3
Planning & Development	993	326	292	32.8	26.0
Street Transportation	5,124	1,182	1,704	23.1	32.4
Fire					
Emergency Transportation Service	36,750	11,671	11,511	31.8	31.2
Other	12,462	4,512	2,595	36.2	21.2
Interest	300	237	80	79.0	23.9
Other Fees and Service Charges	14,890	4,432	3,858	29.8	22.2
<b>Total Revenues</b>	<b>\$ 953,212</b>	<b>\$ 274,453</b>	<b>\$ 277,353</b>	<b>28.8 %</b>	<b>28.8 %</b>

# Summary

# General Funds

## As of October 31

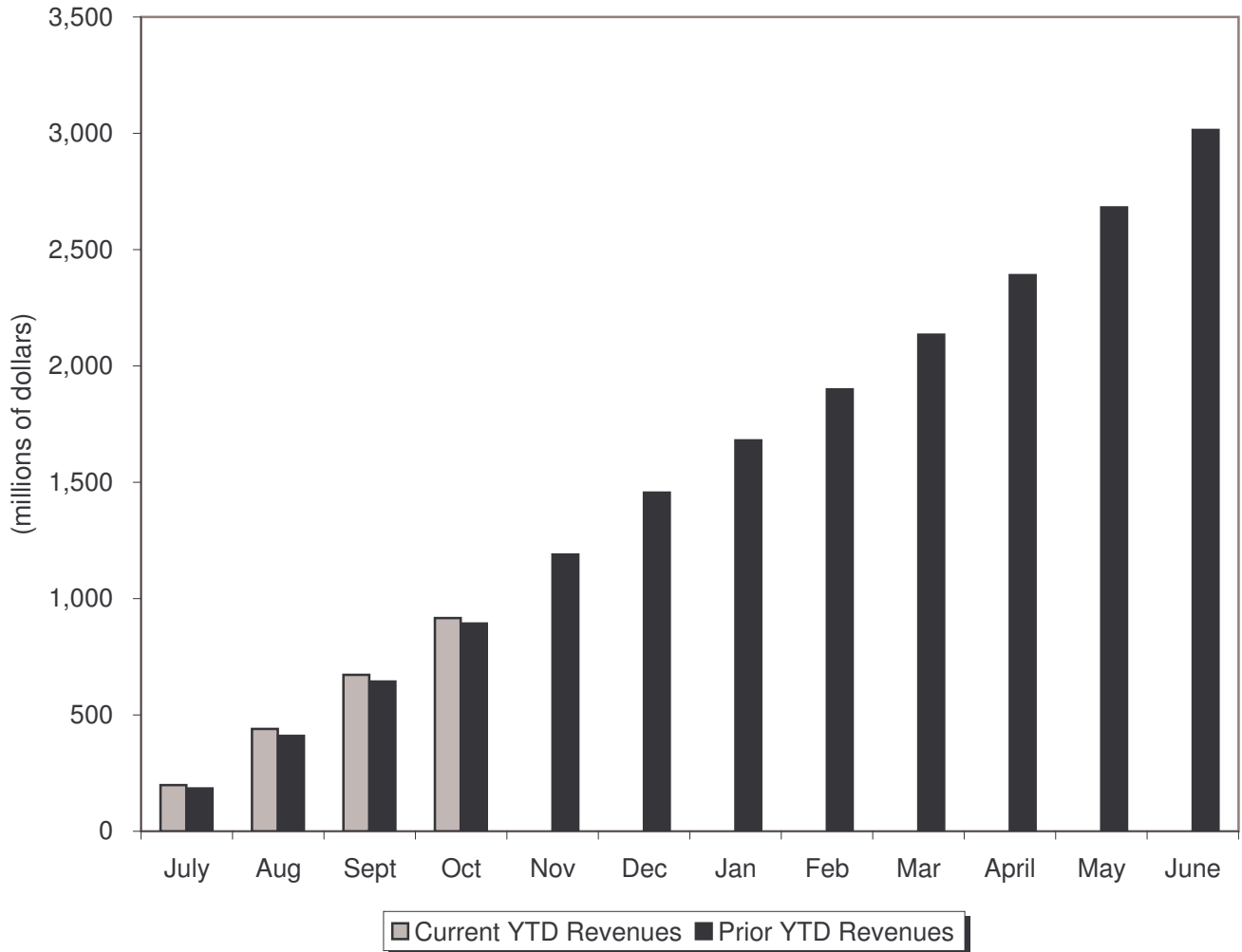
(dollars in thousands)

	Budget	Actual		% of Year-to-Date	
	Estimate	Year-to-Date		Estimate	Actual
	2011-12	2011-12	2010-11	2011-12	2010-11
<b>Expenditures and Encumbrances</b>					
General Government					
Mayor	\$ 1,428	\$ 414	\$ 394	29.0 %	29.5 %
City Council	3,323	1,053	1,119	31.7	34.8
City Manager	1,973	884	(117)	44.8	(7.1)
Information Technology Services	32,873	11,535	9,658	35.1	344.3
Public Information	2,319	824	739	35.5	32.4
Equal Opportunity	2,287	720	750	31.5	32.1
Law	18,234	5,998	6,323	32.9	35.1
City Auditor	2,575	955	1,269	37.1	47.8
City Clerk	4,969	1,568	1,685	31.6	46.6
Human Resources	11,008	3,133	2,908	28.5	31.5
Budget and Research	3,191	1,105	1,130	34.6	35.7
Finance	17,160	5,000	5,092	29.1	27.7
Others	2,782	1,853	736	66.6	38.8
Total General Government	104,122	35,042	31,686	33.7	44.9
Criminal Justice					
Municipal Court	30,954	9,897	9,848	32.0	34.4
Public Defender	4,708	1,543	1,505	32.8	32.3
Total Criminal Justice	35,662	11,440	11,353	32.1	34.1
Public Safety					
Police	428,455	146,710	145,011	34.2	34.6
Fire	226,892	74,218	70,812	32.7	32.2
Others	30	3	(23)	10.0	(54.3)
Total Public Safety	655,377	220,931	215,800	33.7	33.7
Transportation					
Street Transportation	22,527	6,852	7,229	30.4	36.8
Public Transit	19,231	6,203	6,439	32.3	33.8
Total Transportation	41,758	13,055	13,668	31.3	35.3
Community Development					
Economic Development	4,886	1,465	1,315	30.0	30.5
Neighborhood Services Department	11,532	3,673	3,859	31.9	37.5
Planning and Development	4,995	1,460	1,701	29.2	35.9
Others	57	16	73	28.1	71.2
Total Community Development	21,470	6,614	6,948	30.8	35.7
Community Enrichment					
Parks and Recreation	89,567	32,705	32,217	36.5	37.4
Library	35,277	9,934	9,589	28.2	29.7
Human Services	18,493	6,304	6,095	34.1	35.8
Others	2,121	651	863	30.7	38.1
Total Community Enrichment	145,458	49,594	48,764	34.1	35.4
Environmental Services					
Public Works	16,400	4,930	5,228	30.1	39.8
Environmental Programs	978	242	374	24.7	41.1
Total Environmental Services	17,378	5,172	5,602	29.8	39.9
Capital Improvement	3,733	345	107	9.2	9.6
Contingencies	37,890	-	-	-	-
Total Expenditures and Encumbrances	\$ 1,062,848	\$ 342,193	\$ 333,928	32.2 %	35.0 %

# **Citywide Summary**

**YEAR-TO-DATE REVENUES**

As of October 31, 2011



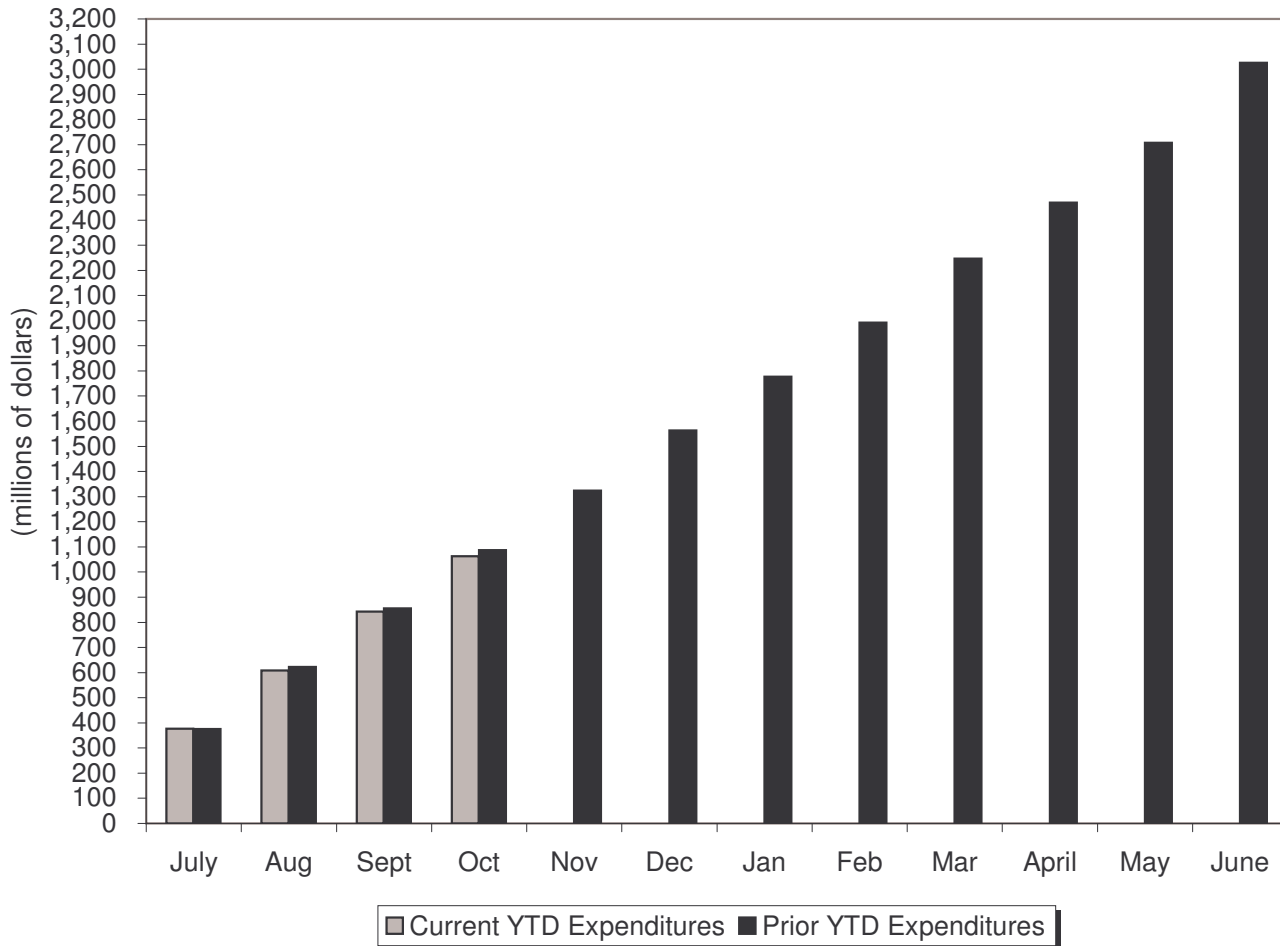
**Revenues**

Year-to-date revenues as of October 31 increased by \$23,737,979 to \$916,380,113 from the \$892,642,134 collected during the same period last year, an increase of 2.7%.

For the current year, 30.4% of the total estimated revenue has been collected. Of the total revenues received last year, 29.6% had been collected as of October 31.

**YEAR-TO-DATE OPERATING EXPENDITURES**

As of October 31, 2011



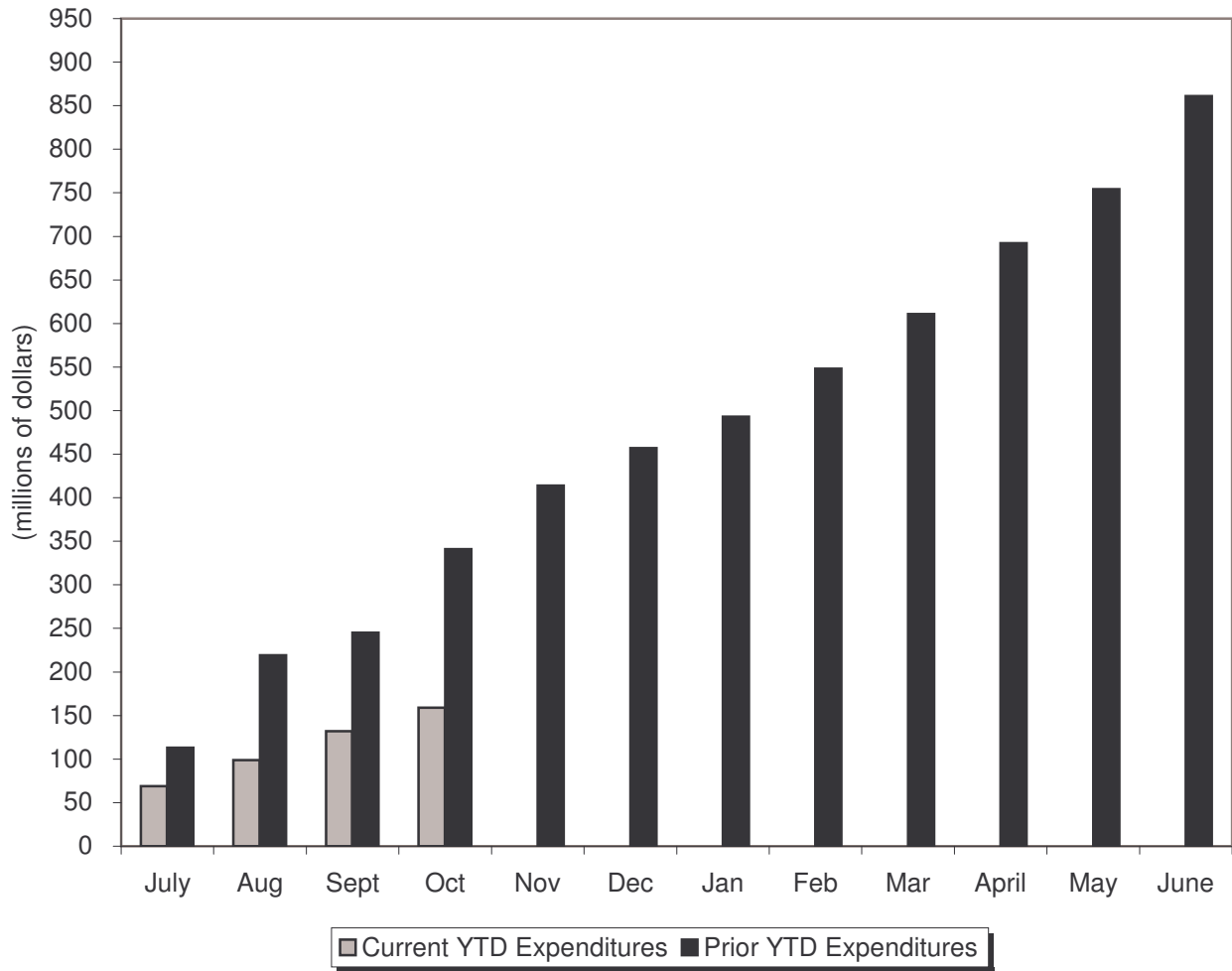
**Operating Expenditures**

For the entire City, including enterprise funds such as Water Services and Aviation, year-to-date operating expenditures as of October 31 decreased \$24,348,732 to \$1,062,664,245 from the \$1,087,012,977 spent during the same period last year, a decrease of 2.2%.

For the current year, 30.6% of the total appropriations has been spent. Of the total actual expenditures for last year, 35.9% had been spent as of October 31.

**YEAR-TO-DATE CAPITAL EXPENDITURES**

As of October 31, 2011



**Capital Expenditures**

Year-to-date capital expenditures as of October 31 decreased \$181,250,103 to \$159,291,841 from the \$340,541,944 spent during the same period last year, a decrease of 53.2%.

For the current year, 12.1% of the total appropriations has been spent. Of the total actual expenditures for last year, 39.6% had been spent as of October 31.

# Summary

# Operating Revenues

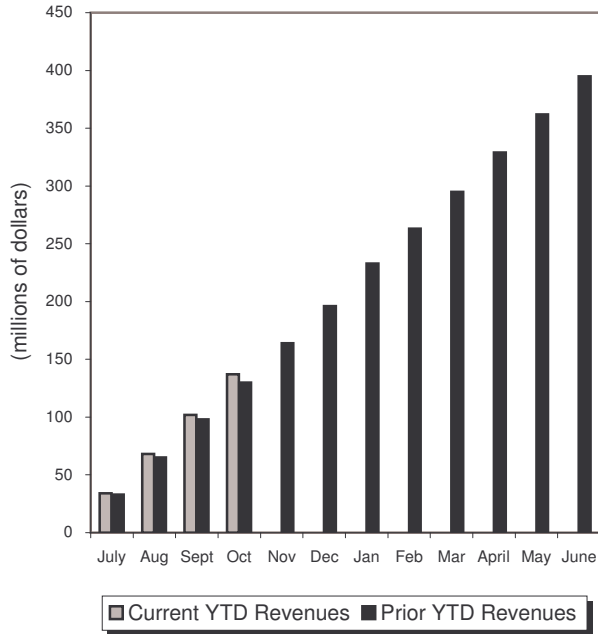
**As of October 31**

*(dollars in thousands)*

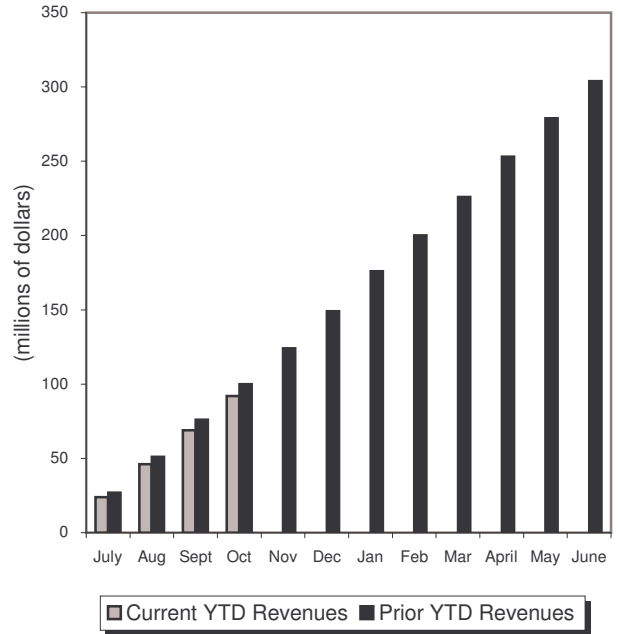
Source	Budget Estimate	Actual Year-to-Date		% of Year-to-Date	
	2011-12	2011-12	2010-11	Estimate 2011-12	Actual 2010-11
<b>General Funds</b>					
Local Taxes:					
Sales Taxes	\$ 417,006	\$ 136,231	\$ 130,061	32.7 %	33.1 %
Privilege License Fees	2,465	323	336	13.1	13.8
State Shared Taxes:					
State Sales Tax	110,696	35,705	35,357	32.3	31.6
State Income Tax	122,065	40,667	47,882	33.3	33.3
Vehicle License Tax	48,045	15,691	17,098	32.7	35.4
Primary Property Tax	126,763	7,677	8,505	6.1	6.5
Licenses and Permits	3,270	869	977	26.6	31.6
Cable Communications	9,567	2,263	2,396	23.7	25.0
Municipal Court	21,859	7,008	7,065	32.1	31.4
Police	12,598	3,319	4,201	26.3	26.0
Library Fees	1,256	380	412	30.3	33.1
Parks and Recreation	7,103	1,960	3,023	27.6	40.3
Planning & Development	993	326	292	32.8	26.0
Street Transportation	5,124	1,182	1,704	23.1	32.4
Fire	49,212	16,183	14,106	32.9	28.7
Interest	301	237	80	78.7	23.9
Other Fees and Service Charges	14,890	4,432	3,858	29.8	22.2
<b>Total General Funds</b>	<b>953,213</b>	<b>274,453</b>	<b>277,353</b>	<b>28.8</b>	<b>28.8</b>
<b>Special Revenue Funds and Debt Service</b>					
Neighborhood Protection	26,956	8,524	7,889	31.6	31.1
Public Safety Enhancement & Expansion	78,517	26,729	25,691	34.0	34.5
Parks and Preserves	27,510	8,591	8,145	31.2	31.4
Transit 2000	159,966	50,447	45,886	31.5	30.0
Court Awards	11,425	2,422	891	21.2	30.2
Planning and Development	33,100	11,114	10,850	33.6	34.1
Capital Construction	17,502	5,632	5,491	32.2	35.1
Sports Facilities	14,781	3,756	3,444	25.4	23.5
Highway User Revenue	91,126	27,508	33,047	30.2	31.3
Regional Transit Revenues	28,863	6,813	6,399	23.6	15.2
Community Reinvestment	3,854	441	2,001	11.4	22.5
Other Restricted Fees	25,634	8,547	7,460	33.3	30.6
Grants	306,082	51,101	23,253	16.7	9.4
G.O. Bond Debt Service	99,757	5,622	9,632	5.6	6.2
<b>Total Special Revenue Funds and Debt Service</b>	<b>925,073</b>	<b>217,247</b>	<b>190,079</b>	<b>23.5</b>	<b>20.5</b>
<b>Enterprise Funds</b>					
Aviation	329,036	105,881	118,334	32.2	35.6
Convention Center	57,391	15,995	22,123	27.9	36.0
Water System	366,646	176,291	158,693	48.1	44.7
Wastewater	230,882	76,753	77,936	33.2	34.2
Solid Waste	142,567	48,599	47,077	34.1	32.9
Golf Courses	6,130	1,161	1,047	18.9	18.3
<b>Total Enterprise Funds</b>	<b>1,132,652</b>	<b>424,680</b>	<b>425,210</b>	<b>37.5</b>	<b>37.8</b>
<b>Total Operating Revenues</b>	<b>\$ 3,010,938</b>	<b>\$ 916,380</b>	<b>\$ 892,642</b>	<b>30.4 %</b>	<b>29.6 %</b>

As of October 31, 2011

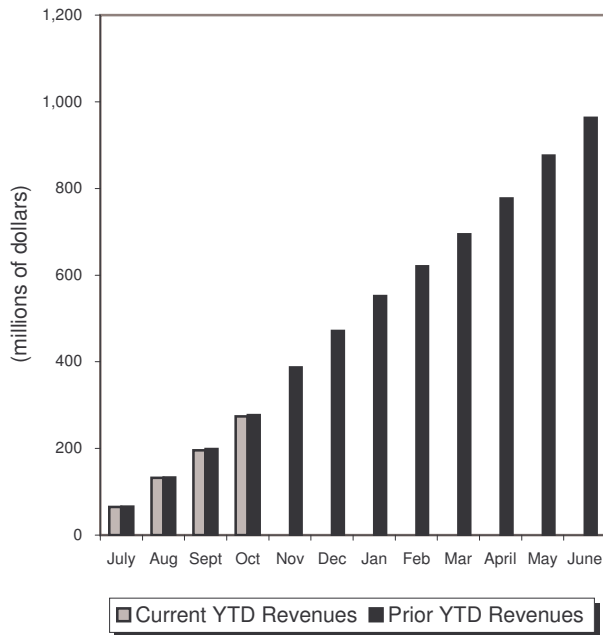
**General Funds Local Taxes**



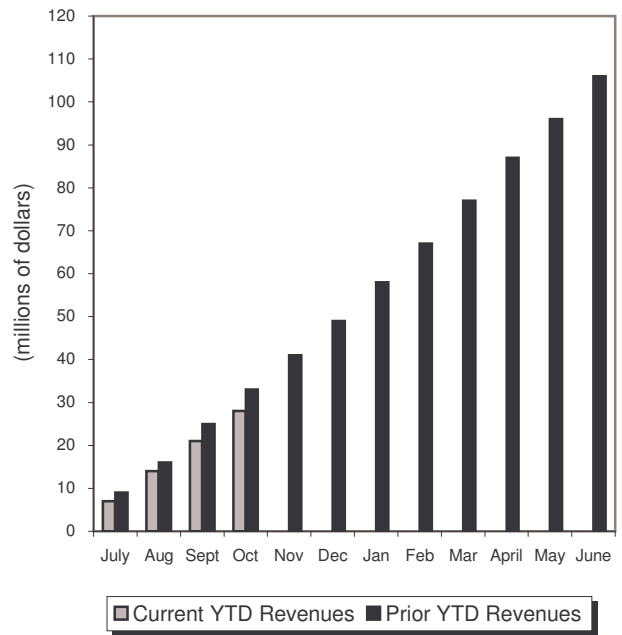
**General Funds State Shared Taxes**



**Total General Funds Revenues**



**Highway User Revenues**



# Summary

# Operating Expenditures and Encumbrances

**As of October 31**

*(dollars in thousands)*

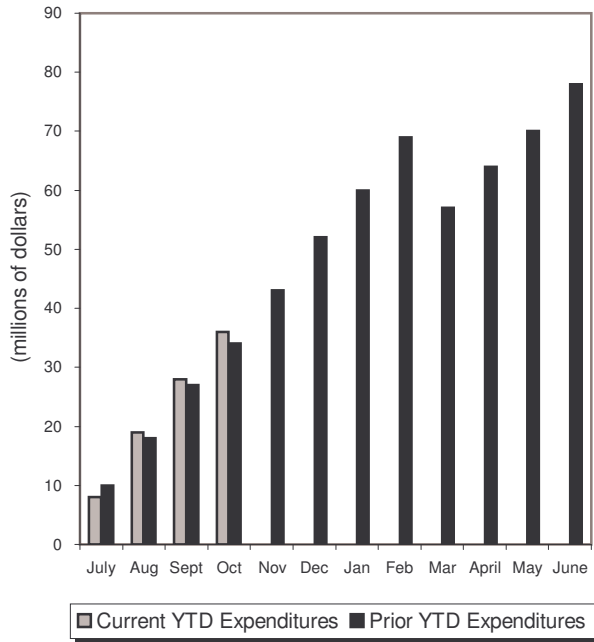
Source	Budget 2011-12	Actual		% of Year-to-Date	
		Year-to-Date		Estimate	Actual
		2011-12	2010-11	2011-12	2010-11
General Government					
General Funds	\$ 104,122	\$ 35,042	\$ 31,686	33.7 %	44.9 %
Other Funds	15,186	585	2,736	3.9	37.0
Total General Government	<u>119,308</u>	<u>35,627</u>	<u>34,422</u>	29.9	44.1
Criminal Justice					
General Funds	35,662	11,440	11,353	32.1	34.1
Other Funds	9,893	935	2,372	9.5	65.3
Total Criminal Justice	<u>45,555</u>	<u>12,375</u>	<u>13,725</u>	27.2	37.1
Public Safety					
General Funds	655,377	220,931	215,800	33.7	33.7
Other Funds	161,111	51,340	51,101	31.9	33.6
Total Public Safety	<u>816,488</u>	<u>272,271</u>	<u>266,901</u>	33.3	33.7
Transportation					
General Funds	41,758	13,055	13,668	31.3	35.3
Other Funds	566,577	274,403	259,037	48.4	49.0
Total Transportation	<u>608,335</u>	<u>287,458</u>	<u>272,705</u>	47.3	48.0
Community Development					
General Funds	21,470	6,614	6,948	30.8	35.7
Other Funds	230,974	54,769	56,940	23.7	36.3
Total Community Development	<u>252,444</u>	<u>61,383</u>	<u>63,888</u>	24.3	36.3
Community Enrichment					
General Funds	145,458	49,594	48,764	34.1	35.4
Other Funds	136,167	47,499	52,631	34.9	38.4
Total Community Enrichment	<u>281,625</u>	<u>97,093</u>	<u>101,395</u>	34.5	36.9
Environmental Services					
General Funds	17,378	5,172	5,602	29.8	39.9
Other Funds	619,300	179,100	188,573	28.9	33.8
Total Environmental Services	<u>636,678</u>	<u>184,272</u>	<u>194,175</u>	28.9	33.9
Debt Service					
General Funds	-	-	-	-	-
Other Funds	138,719	56,307	64,121	40.6	32.6
Total Debt Service	<u>138,719</u>	<u>56,307</u>	<u>64,121</u>	40.6	32.6
Capital Improvement					
General Funds	3,733	345	107	9.2	9.6
Other Funds	487,088	55,533	75,575	11.4	22.9
Total Capital Improvement	<u>490,821</u>	<u>55,878</u>	<u>75,682</u>	11.4	22.9
Contingencies					
General Funds	37,890	-	-	-	-
Other Funds	46,550	-	-	-	-
Total Contingencies	<u>84,440</u>	-	-	-	-
Total Operating					
General Funds	1,062,848	342,193	333,928	32.2	35.0
Other Funds	2,411,565	720,471	753,086	29.9	36.4
Total Operating Budget	<u>\$ 3,474,413</u>	<u>\$ 1,062,664</u>	<u>\$ 1,087,014</u>	30.6 %	35.9 %

# Summary

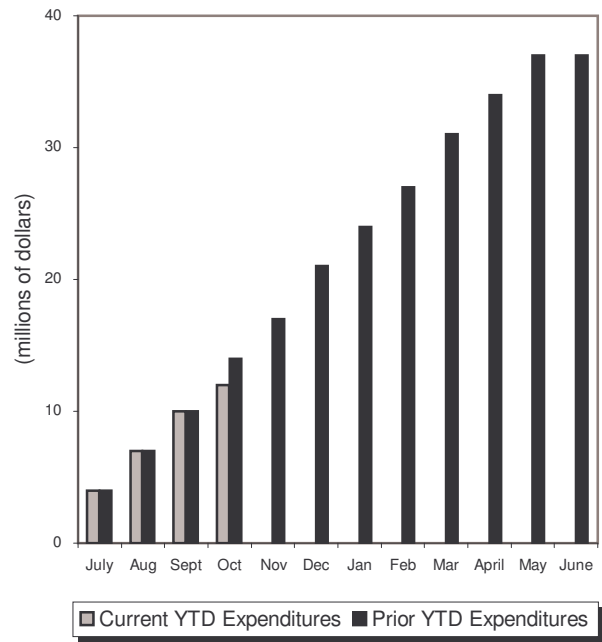
# Operating Expenditures and Encumbrances

As of October 31, 2011

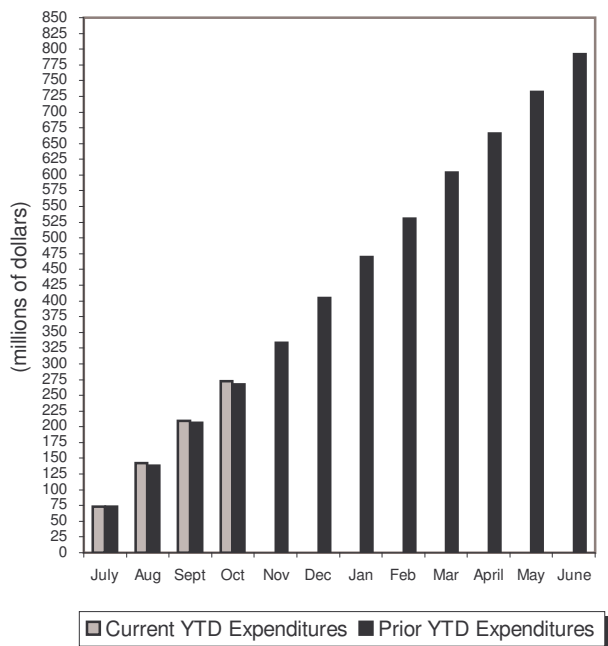
**Total General Government**



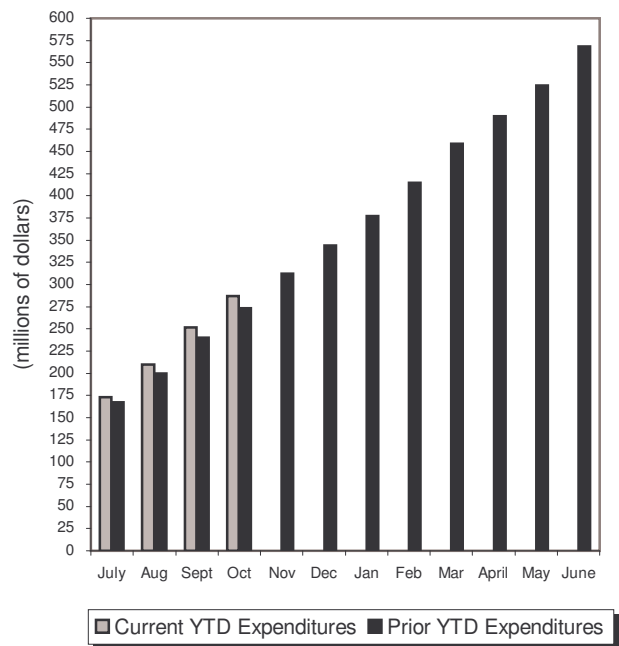
**Total Criminal Justice**



**Total Public Safety**



**Total Transportation**

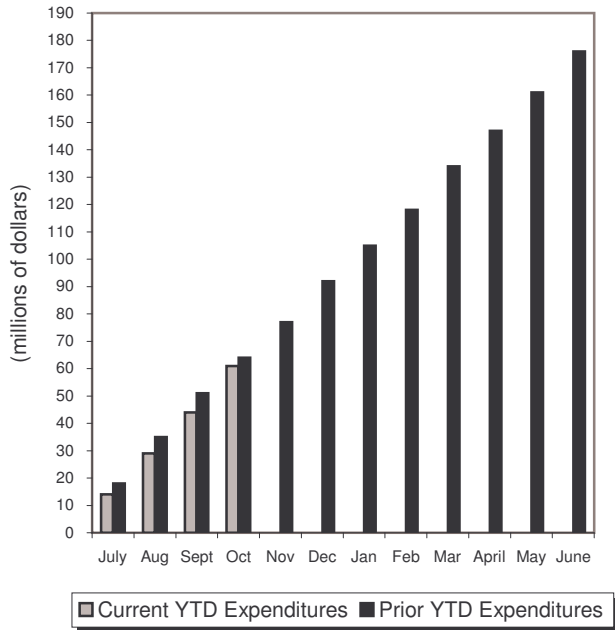


# Summary

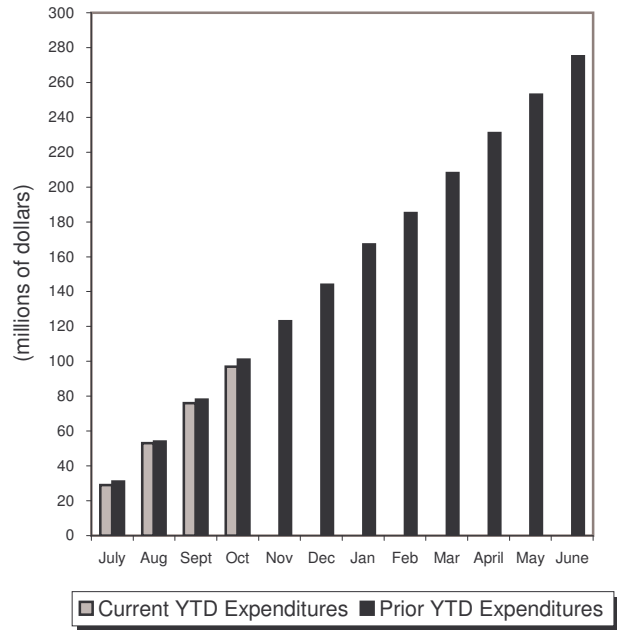
# Operating Expenditures and Encumbrances

As of October 31, 2011

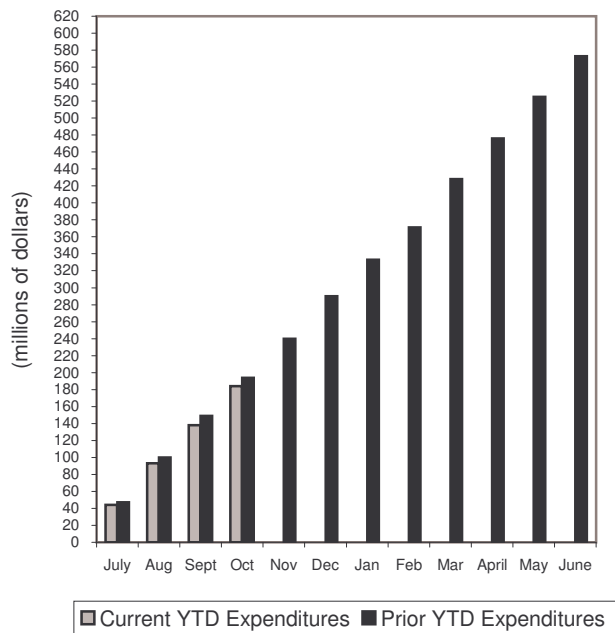
**Total Community Development**



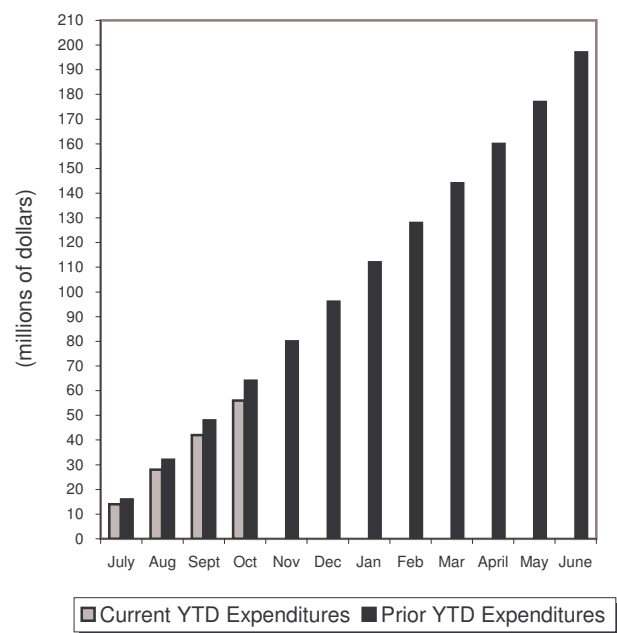
**Total Community Enrichment**



**Total Environmental Services**



**Total Debt Service**



# **Citywide Detail**

# Revenues

For the Month Ended October 31		Source	Budget Estimate 2011-12	Actual Year-to-Date		Percent of Year-to-Date			
2011	2010			2011-12	2010-11	Estimate 2011-12	Actual 2010-11		
<b>General Funds</b>									
\$	34,745,601	\$	32,142,794	\$	136,231,200	\$	130,060,846	32.7 %	33.1 %
	164,373		186,885		323,053		336,137	13.1	13.8
	<u>34,909,974</u>		<u>32,329,679</u>		<u>136,554,253</u>		<u>130,396,983</u>	32.6	33.0
	9,176,883		8,642,018						
	10,168,121		11,970,581		35,704,578		35,357,212	32.3	31.6
	3,669,368		4,018,188		122,065,000		47,882,356	33.3	33.3
					48,045,000		17,098,330	32.7	35.4
	<u>23,014,372</u>		<u>24,630,787</u>		<u>280,806,000</u>		<u>92,063,146</u>	<u>32.8</u>	<u>33.0</u>
	7,721,492		8,658,799		126,763,000		7,676,769	6.1	6.5
	161,147		213,282		3,270,000		868,535	26.6	31.6
	2,416,639		2,263,311		9,567,056		2,263,003	23.7	25.0
	1,624,666		1,602,548						
	70,194		73,946		20,759,025		6,719,834	32.4	31.7
					1,100,000		287,900	26.2	26.1
	<u>1,694,860</u>		<u>1,676,494</u>		<u>21,859,025</u>		<u>7,007,734</u>	<u>32.1</u>	<u>31.4</u>
	1,290,531		1,032,664		12,597,587		3,318,826	26.3	26.0
	82,361		101,464		1,256,140		379,697	30.2	33.1
	321,851		1,354,268		7,102,531		1,959,699	27.6	40.3
	127,614		40,903		993,300		326,121	32.8	26.0
	263,263		281,198		5,124,098		1,181,856	23.1	32.4
	2,838,048		2,952,858						
	59,100		62,081		36,750,000		11,671,238	31.8	31.2
	2,124,523		1,534,892		1,300,000		231,636	17.8	16.6
					11,161,613		4,280,452	38.3	21.7
	<u>5,021,671</u>		<u>4,549,831</u>		<u>49,211,613</u>		<u>16,183,326</u>	<u>32.9</u>	<u>28.7</u>
	49,934		10,273		301,380		237,176	78.7	23.9
	1,194,152		943,974		14,889,664		4,432,473	29.8	22.2
	<u>78,269,861</u>		<u>78,086,927</u>		<u>953,211,894</u>		<u>274,452,614</u>	<u>28.8</u>	<u>28.8</u>

# Revenues

For the Month Ended October 31		Source	Budget Estimate 2011-12	Actual Year-to-Date		Percent of Year-to-Date	
2011	2010			2011-12	2010-11	Estimate 2011-12	Actual 2010-11
<b>Special Revenue Funds and Debt Service</b>							
\$	1,509,009	\$	1,373,758				
	107,786		98,125				
	538,932		490,627				
	9,272		(15,649)				
	<u>2,164,999</u>		<u>1,946,861</u>				
		<i>Neighborhood Protection:</i>					
				\$	18,837,000	\$	5,899,082
					1,345,000		5,528,075
					6,726,000		394,862
					48,000		1,974,312
					<u>26,956,000</u>		<u>8,523,955</u>
							<u>7,888,822</u>
							31.3 %
							31.2 %
							31.3
							31.0
							31.3
							201.4
							20.0
							31.6
							31.1
		<i>Public Safety Enhancement &amp; Expansion:</i>					
					58,269,000		19,612,399
					20,108,000		18,745,291
					140,000		6,910,655
					<u>78,517,000</u>		<u>26,729,435</u>
							<u>25,690,690</u>
							33.7
							33.9
							35.5
							36.0
							(8.2)
							72.6
							34.0
							34.5
							31.2
							31.4
							31.2
							31.2
							32.0
							27.6
							31.5
							30.0
							21.2
							30.2
							21.2
							33.6
							34.1
							32.2
							35.1
							25.1
							23.3
							36.0
							26.5
							25.4
							23.5
							30.2
							31.3
							19.6
							27.6
							38.2
							11.5
							30.2
							31.3
							23.6
							15.2
							11.4
							22.5
							33.3
							30.6

# Revenues

For the Month Ended October 31		Source	Budget Estimate 2011-12	Actual Year-to-Date		Percent of Year-to-Date	
2011	2010			2011-12	2010-11	Estimate 2011-12	Actual 2010-11
<b><u>Special Revenue Funds and Debt Service (Cont'd)</u></b>							
Grants:							
Public Housing:							
\$ 609,168	\$ 645,319	Rentals	\$ 9,270,065	\$ 2,329,785	\$ 1,901,172	25.1 %	28.7 %
7,790,869	7,502,483	Grants	77,005,775	23,425,933	13,873,168	30.4	19.3
1,020,060	73,641	Other	2,431,392	(298,425)	(418,141)	(12.3)	(4.0)
9,420,097	8,221,443	<i>Total Public Housing</i>	88,707,232	25,457,293	15,356,199	28.7	17.3
Other:							
3,599,396	1,342,263	Human Resources	42,765,750	11,108,716	6,731,993	26.0	14.9
84,841	-	Federal Transit Administration	9,983,354	2,134,033	(3,615,566)	21.4	(19.2)
1,773,157	368,409	Community Development	34,665,229	6,013,618	3,276,674	17.3	18.6
5,039,836	6,721,990	Other	129,960,524	6,387,304	1,503,594	4.9	2.0
10,497,230	8,432,662	<i>Total Other</i>	217,374,857	25,643,671	7,896,695	11.8	5.0
19,917,327	16,654,105	<i>Total Grants</i>	306,082,089	51,100,964	23,252,894	16.7	9.4
G.O. Bond Debt Service							
5,934,814	10,132,900	Secondary Property Tax	94,529,000	5,674,148	9,667,660	6.0	6.5
(1,733)	195	Interest	5,228,000	(52,100)	(35,482)	(1.0)	(0.7)
5,933,081	10,133,095	<i>Total G.O. Bond Debt Service</i>	99,757,000	5,622,048	9,632,178	5.6	6.2
68,173,637	67,023,312	<i>Total Special Revenue and Debt Service</i>	925,073,517	217,247,649	190,079,325	23.5	20.5
<b><u>Enterprise Funds</u></b>							
<b>AVIATION:</b>							
Sky Harbor:							
6,647,389	10,233,050	Airlines	108,000,000	28,766,585	30,667,367	26.6	36.9
16,100,753	24,758,208	Concessions	173,799,000	66,569,590	73,404,359	38.3	37.3
182,654	106,264	Interest	1,620,000	582,580	503,057	36.0	37.1
223,014	328,964	Other	3,286,000	(2,102,507)	1,809,482	(64.0)	24.7
138,693	258,114	Phoenix-Goodyear	1,724,000	567,968	674,758	32.9	41.3
236,367	449,875	Phoenix-Deer Valley	3,606,500	956,871	1,426,925	26.5	44.2
2,750,773	2,405,501	Phoenix-Customer Facility Charge	37,000,000	10,540,188	9,847,798	28.5	25.0
26,279,643	38,539,976	<i>Total Aviation</i>	329,035,500	105,881,275	118,333,746	32.2	35.6
<b>CONVENTION CENTER:</b>							
3,006,086	2,700,281	Excise Taxes	39,685,000	11,601,065	10,662,735	29.2	28.2
1,121,949	619,824	Operating Revenue	17,106,160	4,240,726	11,243,495	24.8	48.8
36,278	56,090	Interest	600,000	152,581	217,073	25.4	34.7
4,164,313	3,376,195	<i>Total Convention Center</i>	57,391,160	15,994,372	22,123,303	27.9	36.0

# Revenues

For the Month Ended October 31		Source	Budget Estimate 2011-12	Actual Year-to-Date		Percent of Year-to-Date	
2011	2010			2011-12	2010-11	Estimate 2011-12	Actual 2010-11
<b>Enterprise Funds (Cont'd)</b>							
<b>WATER SYSTEM:</b>							
\$ 33,424,299	\$ 28,241,819	Water Sales	\$ 343,293,000	\$ 166,902,430	\$ 150,305,148	48.6 %	45.3 %
505,516	507,629	Water Service Fees	6,000,000	2,002,976	2,047,917	33.4	34.1
226,274	201,875	Distribution	3,180,000	1,388,466	1,162,264	43.7	43.0
859,661	691,111	Intergovernmental	9,395,000	3,763,937	3,142,104	40.1	40.0
140,760	101,040	Development Fees	1,500,000	659,040	417,660	43.9	34.3
115,699	356,351	Interest	1,298,000	704,624	1,239,729	54.3	35.8
588,086	488,255	Other	1,980,000	869,359	378,130	43.9	22.7
35,860,295	30,588,080	<i>Total Water System</i>	366,646,000	176,290,832	158,692,952	48.1	44.7
<b>WASTEWATER:</b>							
16,337,390	16,307,894	Sewer Service Charges	196,104,000	64,985,670	66,129,468	33.1	33.5
1,592,220	1,533,842	Multi-City	14,486,000	6,440,642	6,279,490	44.5	33.0
128,640	99,161	Development Fees	1,400,000	625,440	367,301	44.7	34.7
217,188	259,500	Interest	1,962,000	816,282	1,028,899	41.6	33.4
885,137	659,956	Other	16,930,000	3,885,277	4,131,328	22.9	60.8
19,160,575	18,860,353	<i>Total Wastewater</i>	230,882,000	76,753,311	77,936,486	33.2	34.2
<b>SOLID WASTE:</b>							
10,336,510	10,270,410	Collection Fees	123,625,000	41,344,947	41,173,477	33.4	33.3
691,103	562,170	Landfill Fees	7,440,000	2,645,688	2,501,536	35.6	29.8
44,604	56,477	Interest	750,000	177,338	247,781	23.6	35.9
1,135,994	1,456,731	Other	10,752,500	4,431,306	3,153,728	41.2	29.8
12,208,211	12,345,788	<i>Total Solid Waste</i>	142,567,500	48,599,279	47,076,522	34.1	32.9
<b>GOLF COURSES:</b>							
202,121	162,127	Fees	4,100,500	667,512	536,418	16.3	14.3
6,704	5,570	Coffee Shops	78,400	29,233	19,680	37.3	26.8
139,046	123,904	Concessions	2,008,000	498,366	421,975	24.8	22.8
(10,532)	(11,648)	Interest	(185,282)	(42,028)	(49,077)	22.7	34.4
4,136	118,026	Other	128,000	7,698	118,250	6.0	68.7
341,475	397,979	<i>Total Golf Courses</i>	6,129,618	1,160,781	1,047,246	18.9	18.3
98,014,512	104,108,371	<i>Total Enterprise Funds</i>	1,132,651,778	424,679,850	425,210,255	37.5	37.8
\$ 244,458,010	\$ 249,218,610	<i>Total Operating Revenues</i>	\$ 3,010,937,189	\$ 916,380,113	\$ 892,642,134	30.4 %	29.6 %

# Operating Expenditures and Encumbrances

For the Month Ended October 31		Program	Budget Estimate 2011-12	Actual Year-to-Date		2011-12 Year-to-Date Operating Expenditures & Encumbrances								
2011	2010			2011-12	2010-11	Operations	Capital and Debt							
<b><u>General Government</u></b>														
\$	97,964	\$	94,144	Mayor	\$	1,438,508	\$	414,363	\$	401,401	\$	414,363	\$	-
	243,656		250,309	City Council		3,323,173		1,052,459		1,119,008		1,052,459		-
	224,841		227,190	City Manager		2,289,692		996,738		(69,820)		996,738		-
	2,589,530		2,203,172	Information Technology Services		33,838,035		11,740,960		10,148,200		11,673,464		67,496
	76,810		95,812	Government Relations		1,321,292		580,622		618,528		580,622		-
	207,437		188,238	Public Information		2,624,699		954,190		877,223		954,190		-
	199,360		203,469	Equal Opportunity		2,691,191		857,440		880,902		857,440		-
	1,666,688		1,649,853	Law		20,041,149		6,608,532		6,923,042		6,608,532		-
	93,585		176,329	City Auditor		2,574,942		954,810		1,269,464		954,810		-
	430,236		318,899	City Clerk		4,993,080		1,573,493		1,739,401		1,568,067		5,426
	364,023		28,171	Elections		1,298,797		1,224,484		116,218		1,224,484		-
	773,073		766,680	Human Resources		11,729,936		3,240,752		3,344,220		3,210,542		30,210
	18,769		5,990	Retirement Systems		-		34,704		(12,780)		34,704		-
	8,320		7,704	Phoenix Employment Relations Board		162,059		40,269		13,554		40,269		-
	236,155		255,452	Budget and Research		3,191,087		1,105,024		1,130,470		1,105,024		-
	1,311,751		1,321,357	Finance		24,160,975		5,950,274		5,922,871		5,902,377		47,897
	(1,362,528)		-	Regional Wireless Cooperative		3,629,630		(1,701,772)		-		(1,701,772)		-
	7,179,670		7,792,769	<i>Total General Government</i>		119,308,245		35,627,342		34,421,902		35,476,313		151,029
<b><u>Criminal Justice</u></b>														
	2,325,595		2,881,344	Municipal Court		40,846,452		10,831,777		12,219,347		10,667,945		163,832
	379,793		376,820	Public Defender		4,708,450		1,542,907		1,505,215		1,542,907		-
	2,705,388		3,258,164	<i>Total Criminal Justice</i>		45,554,902		12,374,684		13,724,562		12,210,852		163,832
<b><u>Public Safety</u></b>														
	41,910,643		41,314,380	Police		547,390,725		184,052,532		182,794,834		183,143,978		908,554
	21,315,993		19,630,614	Fire		268,616,005		88,095,323		83,935,767		87,744,169		351,154
	27,221		68,434	Other		480,992		122,674		170,091		122,674		-
	63,253,857		61,013,428	<i>Total Public Safety</i>		816,487,722		272,270,529		266,900,692		271,010,821		1,259,708

## Operating Expenditures and Encumbrances

For the Month Ended October 31		Program	Budget Estimate 2011-12	Actual Year-to-Date		2011-12 Year-to-Date Operating Expenditures & Encumbrances	
2011	2010			2011-12	2010-11	Operations	Capital and Debt
<b><u>Transportation</u></b>							
\$ 4,634,958	\$ 4,610,747	Street Transportation	\$ 58,632,945	\$ 19,251,693	\$ 18,825,993	\$ 19,211,508	\$ 40,185
961,223	807,564	Street Lighting	11,685,358	3,350,449	3,262,431	3,350,449	-
20,891,681	18,007,586	Aviation	290,441,289	84,973,595	79,295,310	65,151,988	19,821,607
8,866,931	8,814,861	Public Transit	247,575,530	179,882,150	171,321,562	160,569,056	19,313,094
35,354,793	32,240,758	<i>Total Transportation</i>	608,335,122	287,457,887	272,705,296	248,283,001	39,174,886
<b><u>Community Development</u></b>							
2,641,905	2,526,121	Planning and Development	33,601,910	10,943,446	10,437,761	10,943,446	-
7,761,991	6,171,713	Housing	75,137,220	26,571,318	25,670,263	26,546,839	24,479
1,945,268	1,330,599	Economic Development	37,775,681	8,194,565	10,740,010	7,432,795	761,770
5,297,936	3,298,704	Neighborhood Services Department	105,929,214	15,674,065	17,040,011	15,674,065	-
17,647,100	13,327,137	<i>Total Community Development</i>	252,444,025	61,383,394	63,888,045	60,597,145	786,249
<b><u>Community Enrichment</u></b>							
7,911,355	7,761,201	Parks and Recreation	96,385,575	35,114,107	34,716,429	35,053,967	60,140
2,646,867	2,644,162	Library	36,069,133	10,161,728	9,798,117	10,161,728	-
839,888	767,856	Golf	8,341,758	3,180,455	2,680,427	3,179,705	750
3,897,010	4,392,091	Convention Center	62,545,832	23,733,382	26,302,223	17,166,045	6,567,337
662,239	1,017,965	Sports and Cultural Facilities	10,968,395	2,652,682	4,075,584	-	2,652,682
4,955,443	5,940,640	Human Services	62,339,505	20,910,095	22,124,568	20,890,043	20,052
188,458	386,069	Public Parking Facilities	4,133,240	1,033,972	1,144,632	1,033,972	-
(56,670)	152,719	Other	841,581	306,198	552,583	306,198	-
21,044,590	23,062,703	<i>Total Community Enrichment</i>	281,625,019	97,092,619	101,394,563	87,791,658	9,300,961

# Operating Expenditures and Encumbrances

For the Month Ended October 31		Program	Budget Estimate 2011-12	Actual Year-to-Date		2011-12 Year-to-Date Operating Expenditures & Encumbrances	
2011	2010			2011-12	2010-11	Operations	Capital and Debt
<b><u>Environmental Services</u></b>							
\$ 24,404,712	\$ 21,047,536	Water System	\$ 390,781,777	\$ 95,722,519	\$ 91,702,033	\$ 59,983,351	\$ 35,739,168
11,894,330	13,108,169	Wastewater	84,743,876	49,172,676	51,151,176	24,132,739	25,039,937
8,917,286	8,547,712	Solid Waste Management	126,438,686	33,934,094	43,109,284	29,639,470	4,294,624
975,120	2,346,308	Public Works	33,305,241	5,365,313	7,573,382	5,075,615	289,698
97,029	154,460	Environmental Programs	1,407,983	77,451	638,994	77,451	-
46,288,477	45,204,185	<i>Total Environmental Services</i>	636,677,563	184,272,053	194,174,869	118,908,626	65,363,427
<b><u>General Obligation Debt Service</u></b>							
109,588	109,504	Aviation	1,315,055	438,352	438,018	-	438,352
1,023,152	795,131	Cultural Facilities	13,124,099	4,092,608	3,180,525	-	4,092,608
1,645,182	1,674,235	Downtown Development	19,974,955	6,580,728	6,696,939	-	6,580,728
-	-	Early Redemption	-	-	-	-	-
17,614	22,061	Economic Development	211,365	70,455	88,242	-	70,455
342,066	404,628	Fire Protection	4,727,555	1,368,262	1,618,512	-	1,368,262
55,981	55,597	Freeway Mitigation	671,765	223,922	222,388	-	223,922
343,287	405,316	Historic/Neighborhood Preservation	4,165,274	1,373,151	1,621,261	-	1,373,151
56,454	70,210	Human Services	677,444	225,815	280,842	-	225,815
772,733	607,199	Information Systems	9,357,132	3,090,932	2,428,798	-	3,090,932
590,384	466,440	Libraries	7,370,435	2,361,538	1,865,759	-	2,361,538
77,460	76,627	Maintenance Service Centers	929,524	309,841	306,508	-	309,841
2,091,377	1,561,487	Mountain Preserves/Parks	26,140,222	8,365,507	6,245,948	-	8,365,507
4,183	4,183	Municipal Administration Buildings	50,200	16,733	16,733	-	16,733
669,476	980,394	Police Protection	8,957,446	2,677,904	3,921,574	-	2,677,904
129,961	212,919	Public Housing Renovation	2,022,132	519,844	851,674	-	519,844
431,277	1,591,339	Sanitary Sewers	6,361,800	1,725,107	6,365,357	-	1,725,107
-	-	Secondary Property Tax	(37,080,505)	3,820	3,820	-	3,820
153,823	628,656	Solid Waste Enterprise Bonds	1,846,375	615,292	2,514,625	-	615,292
2,636,619	2,122,705	Storm Sewer Improvements	31,958,772	10,546,475	8,490,821	-	10,546,475
2,317,090	3,055,318	Street Improvements	28,193,672	9,268,363	12,221,385	-	9,268,363
25,534	13,901	Street Light Refinancing	306,413	102,137	55,604	-	102,137
582,467	1,170,909	Water System	7,437,854	2,330,598	4,685,274	-	2,330,598
14,075,708	16,028,759	<i>Total Debt Service</i>	138,718,984	56,307,384	64,120,607	-	56,307,384
12,526,863	28,678,699	<b><u>Capital Improvement</u></b>	490,821,254	55,878,353	75,682,441	-	55,878,353
-	-	<b><u>Contingencies</u></b>	84,440,000	-	-	-	-
\$ 220,076,446	\$ 230,606,602	<b>Total Operating Budget</b>	\$ 3,474,412,836	\$ 1,062,664,245	\$ 1,087,012,977	\$ 834,278,416	\$ 228,385,829

## Capital Expenditures and Encumbrances

For the Month Ended October 31		Program	2011-12		2010-11 Actual Year- to-Date	2011-12 Year-to-Date Capital Amounts				Unencumbered Budget
2011	2010		Budget	Actual Year- to-Date		From Operating Funds		From Capital Funds		
					Budget	Actual	Budget	Actual		
\$ 457,823	\$ 1,574,177	Arts and Cultural Facilities	\$ 14,804,107	\$ 7,004,931	\$ 1,756,816	\$ 1,195,000	\$ -	\$ 13,609,107	\$ 7,004,931	\$ 7,799,176
10,398,191	15,376,014	Aviation	435,812,669	57,264,091	49,846,647	53,590,375	7,589,469	382,222,294	49,674,622	378,548,578
84,852	926,225	Economic Development	10,253,514	788,754	12,217,536	6,544,985	446,056	3,708,529	342,698	9,464,760
652,391	664,535	Energy Conservation	17,746,981	3,706,230	2,347,574	17,746,981	3,706,230	-	-	14,040,751
141,776	298,595	Facilities Management	19,197,800	4,001,976	1,607,767	1,752,000	59,543	17,445,800	3,942,433	15,195,824
5,419	1,045,199	Fire Protection	21,140,399	7,715,403	3,401,194	-	-	21,140,399	7,715,403	13,424,996
-	-	Freeway Mitigation	-	-	-	-	-	-	-	-
39,293	37,749	Historic Preservation	2,759,359	236,174	1,007,107	86,883	-	2,672,476	236,174	2,523,185
1,888,061	2,476,952	Housing	64,544,234	12,615,868	10,857,550	48,568,331	7,014,410	15,975,903	5,601,458	51,928,366
195,647	5,058	Human Services	2,857,262	(360,639)	4,111,825	-	-	2,857,262	(360,639)	3,217,901
811,465	1,082,828	Information Technology	14,253,403	2,017,642	1,713,526	10,256,533	1,245,119	3,996,870	772,523	12,235,761
42,150	1,149,354	Libraries	7,697,854	1,651,668	2,369,235	1,454,500	967,394	6,243,354	684,274	6,046,186
349,533	408,232	Neighborhood Services	11,785,676	689,109	1,388,213	3,966,861	270,809	7,818,815	418,300	11,096,567
3,152,697	29,622,009	Parks and Mountain Preserves	99,758,025	7,225,751	37,097,669	46,820,118	2,832,639	52,937,907	4,393,112	92,532,274
96,126	29,252	Phoenix Convention Center	2,212,303	106,164	3,181,127	2,212,303	104,991	-	1,173	2,106,139
227,948	918,687	Police Protection	25,509,600	816,284	1,432,403	-	-	25,509,600	816,284	24,693,316
69,415	694,630	Public Transit	77,991,552	3,099,447	9,723,130	26,179,381	1,188,361	51,812,171	1,911,086	74,892,105
546,560	6,202,208	Solid Waste Disposal	29,244,218	2,249,973	18,042,134	14,365,000	1,890,716	14,879,218	359,257	26,994,245
2,129,007	18,626,306	Street Transportation & Drainage	155,264,473	14,998,293	49,614,969	70,784,504	10,945,172	84,479,969	4,053,121	140,266,180
927,899	2,253,780	Wastewater	107,162,416	4,906,843	17,637,472	72,777,540	4,242,836	34,384,876	664,007	102,255,573
5,472,543	12,506,315	Water System	197,600,987	28,557,879	111,188,050	112,519,959	13,374,608	85,081,028	15,183,271	169,043,108
<b>\$ 27,688,796</b>	<b>\$ 95,898,105</b>	<i>Total Capital Improvement Program</i>	<b>\$ 1,317,596,832</b>	<b>\$ 159,291,841</b>	<b>\$ 340,541,944</b>	<b>\$ 490,821,254</b>	<b>\$ 55,878,353</b>	<b>\$ 826,775,578</b>	<b>\$ 103,413,488</b>	<b>\$ 1,158,304,991</b>

# Bonds Authorized and Sold

**As of October 31**

*(dollars in thousands)*

	<u>Authorized</u>	<u>Sold</u>	<u>Available</u>
<b><u>1988 Authorizations</u></b>			
<b>General Obligation Bonds</b>			
Freeway Mitigation, Neighborhood Stabilization, and Slum and Blight Elimination	\$ 29,285	\$ 28,285	\$ 1,000
Bonds Fully Issued	<u>884,175</u>	<u>884,175</u>	<u>-</u>
<i>Total General Obligation Bonds</i>	913,460	912,460	1,000
<b>Revenue Bonds</b>			
Bonds Fully Issued	<u>143,890</u>	<u>143,890</u>	<u>-</u>
<i>Total 1988 Authorizations</i>	<u>1,057,350</u>	<u>1,056,350</u>	<u>1,000</u>
<b><u>2001 Authorization</u></b>			
<b>General Obligation Bonds</b>			
Education, Youth and Family Cultural Facilities	66,300	64,325	1,975
Computer Technology	125,300	123,085	2,215
Environmental Cleanup	24,800	24,170	630
Fire Protection	61,500	60,600	900
Historic Preservation	12,000	11,075	925
Library Facilities	33,000	32,100	900
Street Improvements	91,500	89,275	2,225
Neighborhood Protection and Senior Centers	74,000	71,645	2,355
Parks, Open Space, and Recreational Facilities	77,000	72,575	4,425
Police Protection	78,800	75,640	3,160
Storm Sewer and Flood Protection	66,000	64,230	1,770
Bonds Fully Issued	<u>43,700</u>	<u>43,700</u>	<u>-</u>
<i>Total 2001 Authorizations</i>	<u>753,900</u>	<u>732,420</u>	<u>21,480</u>
<b><u>2006 Authorization</u></b>			
<b>General Obligation Bonds</b>			
Affordable Housing and Neighborhood Revitalization	81,000	57,645	23,355
Computer Technology	11,100	8,400	2,700
Education and Health Science Facilities	198,700	186,950	11,750
Environmental Cleanup	12,800	7,075	5,725
Family, Senior, and Youth Cultural Facilities	104,622	63,290	41,332
Fire Protection	74,705	43,900	30,805
Library Facilities and Improvements	29,178	19,675	9,503
Parks, Open Space, and Recreational Facilities	115,500	72,325	43,175
Police Protection	107,295	62,900	44,395
Street Improvements	78,200	55,915	22,285
Storm Sewer and Flood Protection	<u>65,400</u>	<u>50,550</u>	<u>14,850</u>
<i>Total 2006 Authorizations</i>	<u>878,500</u>	<u>628,625</u>	<u>249,875</u>
<i>Total Bond Program</i>	<u>\$ 2,689,750</u>	<u>\$ 2,417,395</u>	<u>\$ 272,355</u>